

01-29-01

A

## UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Attorney Docket No. 00,1281

First Named Inventor William Necka

Express Mail No. EL602858328US

Total Pages 76

## APPLICATION ELEMENTS

1. ☒ Transmittal Form with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 54]
3. ☒ Drawings [Total Sheets 12]
4. ☒ Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed
  - b. ☐ Copy from prior application

[Note Boxes 5 and 17 below]

  - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Code Listing (See 1.96)
  - a. ☐ Microfiche Appendix
  - b. ☐ CD-Rom (in duplicate, with separate transmittal)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy
  - c. ☐ Statement verifying above copies
8. ☐ Small Entity Status
  - ☐ is claimed
  - ☐ Statement filed in prior application; status still proper and desired
  - ☐ is no longer claimed.

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers
10. ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)
  - ☐ PTO-1449 Form
  - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☒ A Request for non-publication pursuant to 35 USC 122(b)(2)(B)(i)
17. ☒ Other: Patent Data Sheet
  - Recordation of Assignment Cover Sheet
  - Associate Power of Attorney

18. ☐ This is a CONTINUING APPLICATION. Please note the following:

- a. ☐ This is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application
- b. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)
- c. ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of application Serial No.
- d. ☐ The prior application is assigned of record to

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 00,1281

## APPLICATION FEES

BASIC FEE				\$ 710.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	33 -20=	13	x \$18.00	\$ 234.00
Independent Claims	5 - 3=	2	x \$80.00	\$ 160.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$270.00	\$
Total of above calculations =				\$ 1104.00
Reduction by 50% for filing by small entity =				\$( )
<input checked="" type="checkbox"/> Assignment fee if applicable			+\$40.00	\$ 40.00
TOTAL =				\$ 1144.00

19. ☐ Please charge my Deposit Account No. 13-2490 in the amount of \$20. ☒ Two checks in the amount of \$1104.00 and \$40.00 are enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☒ Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

## 23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

## 24. USPTO CUSTOMER NUMBER



20306

PATENT TRADEMARK OFFICE

McDonnell Boehnen Hulbert &amp; Berghoff

## 25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Monika Dudek
Reg. No.	P-47,538
Signature	<i>Monika Dudek</i>
Date	January 26, 2001

UTIL (Rev. 11/21/00)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1281)

PATENT

In the Application of: )  
)  
Necka, et al. )  
)  
Serial No. **Not yet assigned** )  
)  
Filing Date: January 26, 2001 )  
)  
For: A System and Method for a Specialized )  
Synamic Host Configuration Protocol Proxy )  
In a Data-Over-Cable Network )

Examiner: **Not yet assigned**

Group Art Unit: **Not yet assigned**



**BOX ASSIGNMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

**TRANSMITTAL LETTER**

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Transmittal (in duplicate);
- b. Recordation Form Cover Sheet
- c. Executed Assignment;
- d. Return Receipt Postcard

2. With respect to additional fees:

- ☒ A. Attached is a check in the amount of \$40.00
- ☐ B. Charge the total additional fee to our Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.10:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as Express Mail No. **EL602858328US** in an envelope addressed to: Asst. Commissioner for Patents, BOX ASSIGNMENT, Washington, D.C. 20231 on this **26th** day of **January, 2001**.

Respectfully submitted,

McDONNELL BOEHNEN  
HULBERT & BERGHOFF

By:

Monika Dudek

Reg. No. P-47,538

Dated: January 26, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1281)



In re Application of: Necka, et al.	)	
	)	
Serial No.: TO BE ASSIGNED	)	Examiner: Not yet assigned
	)	
Filed: CONCURRENTLY HEREWITH	)	Group Art Unit: Not yet assigned
	)	
For: A SYSTEM AND METHOD FOR A	)	
SPECIALIZED DYNAMIC HOST	)	
CONFIGURATION PROTOCOL PROXY)	)	
IN A DATA-OVER-CABLE NETWORK )	)	

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

**REQUEST FOR NON-PUBLICATION**

Sir:

Pursuant to 35 U.S.C. 122(b)(2)(b)(i), this is a request for non-publication of the attached application. The undersigned representative certifies that the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

*Monika Dudek*

Date: January 26, 2001

By: Monika Dudek  
Reg. No. P-47,538